CRystal growth
Controlled growth and form of precipitating microsculptures
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Controlled self-assembly of three-dimensional shapes holds great potential for fabrication of functional materials. Their practical realization requires a theoretical framework to quantify and guide the dynamic sculpting of the curved structures that often arise in accrete mineralization. Motivated by a variety of bioinspired coprecipitation patterns of carbonate and silica, we develop a geometrical theory for the kinetics of the growth front that leaves behind thin-walled complex structures. Our theory explains the range of previously observed experimental patterns and, in addition, predicts unexplored assembly pathways. This allows us to design a number of functional base shapes of optical microstructures, which we synthesize to demonstrate their light-guiding capabilities.

Overall, our framework provides a way to understand and control the growth and form of functional precipitating microsculptures.

Microstructures with complex three-dimensional (3D) shapes emerge as important elements in magnetic, electronic, and optical devices (1–4). Well-defined highly curved and hollow shapes are increasingly essential for sophisticated microphotonic components, such as optical resonance cavities (5–7), light directors (8), photonic trumpets (9), and next-generation optical metamaterials (4, 10, 11). Currently, none of the existing fabrication approaches takes full advantage of the physicochemical processes that arise in natural systems. Top-down lithographic manufacturing has the capability of creating complex 3D geometries precisely but is laborious and difficult to scale up. Similarly, molding and 3D printing show enormous potential in building intricate shapes but have limited ability to control feature sizes at the submicron scale (22, 23). Finally, droplet microfluidics or colloidal self-assembly are simple and scalable but typically form highly symmetric superstructures (14, 15).

A promising candidate for the bottom-up fabrication of cheap, scalable, and intricate geometries is the bioinspiration-inspired coprecipitation of silica with barium or strontium carbonates (16–22). The resulting structures—such as vases, corals, and helices (Fig. 1, A to D)—can be rationally sculpted by modulating the reaction conditions (22). Although semipirical arguments allow for a qualitative view of the mechanisms involved, the absence of a quantitative theoretical framework makes it difficult to characterize or control these precipitation patterns. Here, we provide a geometrical theory for the morphogenesis of carbonate-silica coprecipitation as an interface growth problem. We use the theory to explore the range of possible shapes and determine ways to control them.

When CO2 flows into an alkaline aqueous solution (pH = 11 to 12) of barium chloride (BaCl2) and sodium metasilicate (Na2SiO3), carbonate-silica structures coprecipitate in three stages (Fig. 1E) (section I. A in the supplementary materials (SM)): (i) Barium carbonate (BaCO3) crystallizes in the entire basic pH range according to Ba2+ + CO32− + H2O → BaCO3(s) + 2H+ (Fig. 1F); (ii) the local decrease in the pH triggers the acid-catalyzed reaction of silicate to orthosilicic acid (Si(OH)4)1− in a narrow pH range (Fig. 1G and Eq. S2) and its polycondensation into amorphous SiO2 on the dendritic BaCO3 crystals (23), leading to cylindrical posts; and (iii) abundant CO2 dissolved in the solution induces splitting of the growing tips into either a single connected front at high nucleation densities or multiple disconnected fronts at low nucleation densities, both of which form thin walls composed of rod-shaped BaCO3 nanocrystals coated by SiO2 on the lateral wall facets (section IIA in the SM) (20, 22). Because BaCO3 is produced at higher rates than SiO2 (section IIA in the SM), the pH at the growth site is lower than that in the bulk solution. Note that when the local pH is within the SiO2 deposition range, silica precipitates and terminates the growth of BaCO3 crystals. Therefore, successful carbonate-silica coprecipitation only occurs when the local pH at the growth front is either slightly above (regime I) or below (regime II) the SiO2 deposition range, so as to sustain continuous carbonate precipitation (22) (Fig. 1F; section IIA in the SM).

In regime I, the pH of the solution is set significantly higher (pH ≈ 12) than that associated with SiO2 deposition, resulting in growth directed from the low-pH front toward the high-pH bulk solution where the BaCO3 supersaturation is higher (Fig. 1F). Consequently, at high nucleation densities, vaskelike (Fig. 1A) and petal-like (Fig. 1B) structures grow steeply away from the vicinity of other growing fronts, whereas at low nucleation densities, multiple fronts originating from a single root blossom into coral-like structures (Fig. 1C). We quantified the growth in this regime via a microfluidic reactor that allowed for direct in situ visualization under constant reaction conditions (section I B to D in the SM and movie S1). When CO2 enters the microfluidic channel through a membrane, corals nucleate in distinct bands (Fig. 1C) with a spatial and temporal periodicity (section I E in the SM). Approximating each coral by a hemisphere with a time-dependent radius R(t), we find that the projected circular area of the corals grows linearly in time (αt2 ≈ t) with a rate of 30 to 50 μm²/min (Fig. 1H). The radius R and the growth speed, U, scale as R ≈ √t and U = dR/dt ≈ 1/R, respectively, i.e., growth is diffusion-controlled at the coprecipitation fronts with a rate proportional to the interfacial curvature, 1/R (24, 25). In the vicinity of the front, the soluble silica oligomers may buffer the local acidity and act as slow-diffusing species. The growth of corals reduces the local pH and eventually stops when silica passivates the low-pH fronts that approach each other.

In regime II, the initial bulk solution pH only slightly exceeds that for silica deposition (pH ≈ 11). Carbonate precipitation steers the structures toward each other’s growth fronts or close to the substrate, where the local pH at the growth site remains below the pH range of excessive silica formation that would overgrow BaCO3 crystals and passivate growth (22) (Fig. 1F). This yields helical or leaf-shaped structures (fig. S6C), which develop a high-curvature front that must result in a lower oligomer concentration at the interface (25). The buffering ability of the oligomers is then reduced, which induces the lowering of the local pH. As a result, structures inherently bend away from the bulk with an increased curvature. Helical structures display a high edge-to-surface ratio with strong curling of the walls, both of which reduce the pH at the growth site (Fig. 1D). Leaves grow along the substrate by forming a curved front (fig. S6C), which must again sustain carbonate precipitation by having a localized zone of sufficiently low pH at the growth site. Evolving leaves develop tips with high curvature, where the precipitation rate increases and, in turn, sharpens the tips even more (21). We interpret this as a growth instability characteristic of a diffusion-limited process (25).

In either regime, after front splitting [Fig. 1E, stage (iii)], a diffusion-limited growth front lays down a thin-walled structure. The wall thickness, w, is limited by the SiO2 precipitation to w = 0.5 to 0.8 μm even as the wall itself continues to

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extend laterally over lengths of order 10 μm or larger (section II. A in the SM). The resulting low-aspect ratio structure may thus be well approximated as a surface that is left behind by a space curve, which represents the growth front and evolves over time (Fig. 2A). The instantaneous configuration of the growth front and its embeddity in the surface can be formulated in terms of the curve metric, \( g \); the geodesic curvature, \( k_g \); the normal curvature, \( k_N \); the geodesic torsion, \( \tau_g \); and the second normal curvature, \( k_{N2} \) (Fig. 2, B and C, and table S2). The variables \( k_g, k_N, \tau_g \) and \( g \) are linked by the partial differential equations of surface theory in differential geometry (26) that embody the geometric constraints on the curve embedded in a surface (section II. B in the SM). The position of the moving front \( \hat{X} \) is determined by its evolving Lagrangian velocity

\[
\frac{d\hat{X}}{dt} = \hat{n}U \tag{1}
\]

where \( \hat{n} \) is the growth direction, \( U \) is the local speed of the curved front, \( d/dt = \partial/\partial t|_{\hat{X}(t)} \) (see Fig. 2A). To characterize the diffusion-limited accretion, we assume that \( U \) is a function of the geometric curvature of the growth front, as in dendritic patterning processes (27). Our assumption is justified by the localized growth due to the coprecipitation reactions (Eqs. S1 and S2) and slow diffusion of soluble silica oligomers. This corresponds to the inequality \( w < L_D < L_e \), where \( w \) is the wall thickness, \( L_D \) is the diffusion length and \( L_e \) is the radius of curvature. In contrast, growth of a 2D front in dendritic solidification is diffusion-controlled only when it is nonlocal, i.e., \( L_D > L_e \) (section II. C in the SM). Writing \( U = \tilde{U}(k_g, k_N, \tau_g, k_{N2}) \) and expanding this function as a power series up to cubic order (section II. D in the SM), the resulting equations of motion for \( U \) and the geometrical variables \( (g, k_g, k_N, \tau_g, k_{N2}) \) yield the position of the growth front \( \hat{X} \) from Eq. 1, and the surface left behind by it, given the second normal curvature, \( k_{N2} \).

The variable \( k_{N2} \) controls the local bend distortions along the growth direction, \( \hat{n} \), and it is either enhanced or reduced by the initial pH of the bulk solution. This suggests a pH-dependent coarse-grained bending parameter \( \phi_b = \phi_b(pH) \).

In regime I, the growth direction toward the high-pH solution is roughly preserved (Fig. 1, A and B); thus, \( \phi_b \) must be low. In regime II, inward-directed growth toward lower pH forces the structures to curl gradually at the interface (Fig. 1D); therefore, \( \phi_b \) must be high. Experimentally, the bending deformations are governed by the pH gradients at the scale of the wall thickness, \( w \) (Fig. 2A). Because we focus on the wall morphogenesis at length scales much bigger than \( w \), we propose the following phenomenological equation of motion for \( k_{N2} \) to characterize both regimes

\[
\frac{dk_{N2}}{dt} = \gamma \frac{\partial k_{N2}}{\partial x} + \xi \phi_b \hat{U}(k_{N2} - \phi_b) \tag{2}
\]

The first term on the right models the diffusive relaxation of the curling mode along the edge (20) with a diffusivity \( \gamma \) (Fig. 2D). The second term controls bending with a rate \( \xi \) by accounting for the following observations: First, bending saturates when \( k_{N2} = \phi_b \); second, the sign of the geodesic curvature, \( k_g \), determines whether bending should increase or decrease, which allows for dynamic modulations between different growth regimes; third, bending increases more slowly in relatively flat sections with a small normal curvature, \( k_N \); than in already curved regions with a higher \( k_N \); and last, bending stops when the speed \( U \) vanishes. Eqs. 1 and 2, and the geometrical compatibility relations (section II. B in the SM), complete the formulation of the problem when initial and boundary conditions are provided.

Given \( \phi_b \) and the angle \( \beta \) that sets the initial orientation of \( \hat{n} \), we simulated the evolution of walls that emerge after front splitting (Fig. 1E, stage (iii)), in particular the vaselike and petal-like structures in regime I and helices in regime II (section II. E in the SM and movies S2 to S6). In Fig. 3A, we show the formation of vases that start from a circle of radius \( \ell \). To model the experimentally observed correlation between the high nucleation density of precipitates and vase formation, we take the initial growth direction to be strongly out of the substrate plane (β: large, Fig. 1E). As the planar and circular growth site of a vase expands over time, its geodesic curvature, \( k_g \), decreases (Fig. 2B). When the growth speed \( U \) is linear in \( k_g \), we analytically deduce that the projected area of a vase varies linearly in time (section II. F in the SM). By contrast, growth is sublinear when \( U \) also depends on higher-order terms (fig. S8), and the projected area of a vase as a function of time is in accordance with the microfluidics experiments at low \( q_b \) (Fig. 1H). Whereas low values of \( q_b \) (high pH) lead to cones, for higher \( q_b \) (lower pH) the conical vases start to curve.

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**Fig. 1.** Carbonate-silica coprecipitation patterns. (A) to (D) Scanning electron microscopy (SEM) images of the typical (A) vaselike, (B) petal-like, (C) coral-like, and (D) helical microstructures. (E) Stages of coprecipitation: (i) nucleation of the seed carbonate crystal; (ii) outgrowth of carbonate/silica coprecipitate; (iii) splitting of the thin wall. The initial slope between a thin wall and the substrate is defined by \( \beta \). (F) Schematics of the SiO₂ (solid black curve) and BaCO₃ (dashed red line) precipitation rates between pH 8 and 12 (22). Regimes I and II are indicated. (G) Growth of coral-like structures produces three distinct bands (marked alongside with orange, 1; green, 2; and magenta, 3, from bottom to top) in a microfluidic chamber where the CO₂ flows from the bottom edge. (H) Projected circular area of growing corals as a function of time [line colors correspond to the bands in (G)], and the simulated growth of a conical vase for the bending parameter \( q_b = 10^{-3} \) (black line, see text).
inwards (Fig. 3A). When the speed of the front is set to zero at a point of the advancing front that starts from a circle, we see nonuniform growth associated with the local passivation by silica overcoating. This leads to the formation of petals for both low and high $q_b$, as shown in Fig. 3B and fig. S9, respectively, and in agreement with experiments (Fig. 1B).

Finally, helices emerge by means of the unstable growth of a nearly semicircular front as shown in Fig. 3C. We observe that the pitch and overall size of the helices become larger when $q_b$ decreases (Fig. S10), whereas the growth reaches a steady state at lower $q_b$ with a constant pitch and width over the twisted region. At still higher $q_b$, the helices develop tips and stop (Fig. S10), consistent with experiments (20, 22). For an areal growth rate of 40 µm²/min and an initial radius of $\ell \approx 2$ µm, we see that vases and helices reach a size of $O(30$ µm) in $O(10$ min), in quantitative agreement with experiments (fig. S8) (see section III. A in the SM for the stereolithography files of the simulated sculptures).

Our theoretical framework couples two physicochemical processes to the instantaneous local geometry of the reaction front and, in turn, the morphology of carbonate-silica precipitates. These processes are the diffusion-limited growth, which is localized by the condition $L_D < L_c$ (where $L_D$ is the diffusion length and $L_c$, local radius of curvature) and, hence, quantified by the local growth rate $U$, and the effect of solution pH on the emergence of curling deformations, modeled by the bending parameter $q_b$ and Eq. 2. The agreement between theoretical and experimental shapes establishes the relation between $q_b$ and pH, as plotted in Fig. 3D.

Photonic microcomponents—such as optical waveguides, light directors (8), and Bragg resonators (7)—are excellent candidates for bottom-up design owing to their shell-like geometries and stringent tolerances. Given the transparency and relatively high refractive index $n$ of the carbonate salts ($n = 1.5$ to 1.7) and silica ($n = 1.5$), the similarity of size and shape between vases and helices (Fig. 3A and top-down lithographically fabricated analogs (8, 9) suggests the use of our bottom-up strategy to build optical components. To solve the inverse problem of designing functional shapes, we split the simulations into a sequence of steps corresponding to switching growth

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**Fig. 2. Geometry of growth.** (A) Precipitation in a narrow semicylindrical edge zone leaves behind a thin wall (left). Colors depict the pH values (Fig. 1F). We represent the moving growth front as a curve (shades of red, right) with a position vector, $\mathbf{X}(s,t)$, with respect to a geodesic ($k_N = 0$) on a surface (26). Folding a plane into the cone introduces a normal curvature ($k_N$) along the curve. (B) The front geometry is partly determined by the geodesic curvature, $k_g$, the curvature of a helical precipitate ($k_g = 0$) on a surface (26). Curling diffuses from high $k_{N,2}$ (at $R$) to lower $k_{N,2}$ (around $P$) with diffusivity $\gamma$ according to Eq. 2.

**Fig. 3. Dynamics of form.** (A) (Left) Axisymmetric profiles of the vases as a function of the bending parameter $q_b \in [10^{-3}-0.013]$ in $3 \times 10^{-3}$ increments with the gray scale changing from dark to light. (Right) Resulting vases corresponding to $q_b = 0.013$ (1) and $q_b = 10^{-3}$ (2). (B) Time evolution of petal growth ($q_b = 10^{-3}$), showing the elevated and plan views. (C) Growth of a helical precipitate ($q_b = 0.9$). In (A) to (C), the lengths $x$, $y$, $z$, and $r$ are scaled by $\ell$, defined as the radius of the initial circular front, and the time is scaled by $T$ (see section II. E in the SM). (D) Relation between the reciprocal bending parameter $1/q_b$ and the initial solution pH (regime I pH, magenta; regime II pH, orange) defines the two regimes. The $q_b$ value of each structure is represented by the nearest dashed gray line. The structures grown by the successive modulations between the two regimes (green dashed arrows) correspond to a set of $q_b$ values (green dotted lines) (Fig. 4A).
fully synthesized following the theoretical guidance and experimental analysis. These shapes were successively obtained by periodically changing the growth conditions associated with step-like symmetries to low spatially symmetrical Bragg resonators (Kaplan et al., 2016; Fig. 3D). Starting from a vase with low (top) may arise in two or three consecutive steps. For example, our theory predicts that the heights at which the Bragg resonators operate (overlapping fluorescence and optical microscopy) and (D) trumpet-shaped assemblages (top, SEM image, and bottom, confocal microscopy image) that operate as waveguides. (E) A coral decorated with posts (top, SEM) acting as a beam splitter (bottom, confocal).

To demonstrate that our carbonate silica precipitates are optically functional, we incorporated a light source in the system. Crystallizing BaCO₃ can be doped with organic additives, including dyes, during precipitation (29). To this end, for the growth stage (i), we introduced the fluorescent dye rhodamine B into the initial solution containing BaCO₃ (section I.G in the SM). The resulting BaCO₃ crystals with the embedded light source served as seeds for subsequent dye-free synthesis of various BaCO₃-SiO₂ or SrCO₃-SiO₂ microstructures at the growth stages (ii) and (iii) (Fig. 4B), in which light is effectively directed from the fluorescent crystal to the edge. The thus synthesized helix, photonic trumpets, and an array of posts grown atop a coral, which clearly demonstrate the ability to guide or split light beams, are shown in Fig. 4, C to E.

Our theoretical model explains the range of patterns in a carbonate silica system and offers a means to guide the synthesis of complex 3D shapes. We have used this bottom-up strategy to make functional optical microarchitectures using the underlying physicochemical processes in sharp contrast to top-down lithographic methods. When combined with an optimization algorithm for process control, our approach has the potential to provide a scalable, inexpensive, and accurate strategy to create 3D photonic microstructures.

**REFERENCES AND NOTES**

Editor's Summary

Predicting the shape of crystals to come

Coprecipitating carbonate and silica can form complex three-dimensional shapes. These range from flowers to trumpets, depending on the pH. Kaplan et al. developed a theoretical model to interpret the crystal growth shapes. The model predicts crystal growth shapes under varying experimental conditions and captures the geometrical aspects of morphological development.

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